

MAR. 19, 2007 3:18PM 407-736-6440

NO. 6095121 (P. 2)

Approved for use through 07/31/2008. OMB 0651-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

Application Number

10/563,806

Filing Date

to be assigned

First Named Inventor

Eugen Bernarding

Art Unit

to be assigned

Examiner Name

to be assigned

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Attorney Docket Number

2003P10269W0US

**ENCLOSURES (check all that apply)**

- Fee Transmittal Form (1 pg.)  
 Fee Attached  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Reply to Missing Parts/  
Incomplete Application  
 Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

- Recordation Form Cover Sheet (1 pg.)  
 Executed Assignment (3 pgs.)  
 Executed Declaration (4 pgs.)  
 Specification (clean version)  
 Specification (with markups)  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_  
 Landscape Table on CD

- After Allowance Communication to TC  
 Appeal Communication to Board  
of Appeals and Interferences  
 Appeal Communication to TC.  
(Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter (1 pg.)  
 Other Enclosure(s)  
(please identify below):

- Notification of Missing  
Requirements (copy, 2 pgs.).

**Remarks****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm

SIEMENS

Signature

Printed Name

JOHN P. MUSONE

Date

MARCH 19, 2007

Reg.  
No.

44,961

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

ANN HICKEY

Date

MARCH 19, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NO. 6095 P. 3

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PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE(Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number)  
Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL  
For FY 2005** Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)-130.00-

Complete If Known	
Application Number	10/583,808
Filing Date	To be assigned
First Named Inventor	Eugen Bernarding
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	2003P10269WOUS

**METHOD OF PAYMENT (check all that apply)**

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 502464 Deposit Account Name: Siemens Schweiz AG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee
- Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity	
				Fee (\$)	Fee (\$)
- 20 or HP =	x	=		50	25
HP = highest number of total claims paid for, if greater than 20.				200	100
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	360	180
- 3 or HP =	x	=			
HP = highest number of independent claims paid for, if greater than 3.					

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): -\$130.00- for late submission of declaration under 37 CFR 1.492(h)

-130.00-

SUBMITTED BY		Registration No. 83-227497-1 (Attorney/Agent) 44,961	Telephone 407 736 6449
Signature			18563886
Name (Print/Type)	John P. Musone	01 FC:18 Date MATCH 18, 2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**SIEMENS****FACSIMILE COVER SHEET**

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In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Eugen Bernarding

Application No. 10/563,806

Attorney Docket No. 2003P10269WOUS

Filed: To be assigned

Title: ADDITIONAL CONTROL VALVE DEVICE FOR AN INLET CHANNEL OF A RECIPROCATING INTERNAL COMBUSTION ENGINE

Examiner: to be assigned

Art Unit: to be assigned

**FACSIMILE ATTN TO: Mail Stop Missing Parts FAX NO.: (571) 273 8300**

**REPLY TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop Missing Parts - PCT  
Commissioner for Patents  
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**Certificate of Transmission under 37 CFR 1.8**

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This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)

PTO/SB/21 Transmittal Form (1 pg.)

Fee Transmittal (1 pg.)

Recordation Form Cover Sheet (1 pg.)

Executed Assignment (3 pgs.)

Executed Declaration (4 pgs.)

Status Inquiry (1 pg.)

Notice to file Missing Parts of Nonprovisional Application (US PTO copy, 2 pgs.)

**Number of pages being transmitted (including this cover sheet): 14 pgs.**

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**Siemens Corporation**

Legal and Intellectual Property  
Department

4400 Alafaya Trail  
Orlando Florida 32826

Tel: 407-736-2472  
FAX: 407-736-6440

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MAR 19 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

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[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY./DOCKET NO.
10/563,806	Eugenis Bernarding	2003P10269WOUS
Unterlagen erhalten 15. Feb. 2007 Siemens Schweiz AG Intellectual Property		INTERNATIONAL APPLICATION NO. PCT/EP04/51103
LA. FILING DATE	PRIORITY DATE	
06/14/2004	07/16/2003	
CONFIRMATION NO. 2958 371 FORMALITIES LETTER *OC000000022327812*		

Date Mailed: 02/05/2007

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/09/2006
- Copy of the International Search Report filed on 01/09/2006
- Preliminary Amendments filed on 01/09/2006
- Information Disclosure Statements filed on 01/09/2006
- Request for Immediate Examination filed on 01/09/2006
- U.S. Basic National Fees filed on 01/09/2006
- Priority Documents filed on 01/09/2006
- Specification filed on 01/09/2006
- Claims filed on 01/09/2006
- Abstracts filed on 01/09/2006
- Drawings filed on 01/09/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

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Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

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PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/563,806	PCT/EP04/51103	2003P10269WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)